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**(YIP-10) NEW MODELS FOR PROTOCOL SECURITY**

**Rafael Pass  
CORNELL UNIVERSITY**

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**07/06/2015  
Final Report**

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New Models for Protocol Security:  
AFOSR YIP Award FA9550-10-1-0093  
4/1/2010-3/31/2015  
**Final Report**

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# 1 Introduction

Following the ground-breaking work on public-key encryption in the 70's, the field of Cryptography has evolved far beyond securing message transmission. Today, cryptographic protocols are used in large-scale systems to guarantee not only confidentiality and authenticity but also attack- and fault-tolerance.

For instance, the notion of a *secure computation*, introduced by Yao and Goldreich, Micali and Wigderson in the early 80's, enables a set of parties to, through the execution of a distributed communication protocol, securely implement any service that a trusted party could perform for them. More precisely, a secure computation protocol allows  $n$  mutually distrustful parties, each with their individual private input, to evaluate any (efficiently computable) function of their respective inputs, while maintaining the same security as if a trusted third party had performed the computation. Security here means that, even if an arbitrary subset of the parties get corrupted and deviate from their prescribed instructions, both correctness and confidentiality is still maintained.

Another central notion is that of a *zero-knowledge proofs*. Zero-knowledge proofs (introduced Goldwasser, Micali and Rackoff) are protocols that enable one party—called the *prover*—to convince another party—called the *verifier*—about the validity of some mathematical statement without revealing anything else about the content of the statement. Zero-knowledge protocols are often used as authentication protocols: I can convince you that I know the secret key associated with a particular public key but without actually revealing the secret key.

This novel use of cryptography, however, also admits new types of attacks, which require studying *new models of security*. During the reporting period, we have focused on two major directions within this topic: *security under concurrent executions*, and *security under tampering attacks*.

Below we discuss some of our major achievements on these topics. Our research has been published in the most prestigious Computer Science Theory conferences (STOC, FOCS, ITCS), and the most prestigious Cryptography conferences (CRYPTO, EuroCrypt, TCC); 5 of these papers were selected for special issues on best papers.

## 2 Concurrent Security

The security of most cryptographic protocols (and, in particular, those for secure computation) can be compromised if many instances of the protocol are *concurrently* executed. This concurrent setting allows a coordinated attack in which an adversary controls many parties, interleaving the executions of the various protocol instances. For instance, a so called *man-in-the-middle* attacker participating in two simultaneous executions of a cryptographic protocol might use messages from one of the executions in order to violate the security of the second.

Consider a two-party protocol between  $A$  acting as an *initiator*, and  $B$  acting as a *responder*. A man-in-the-middle adversary  $M$  controlling the channel between  $A$  and  $B$  can participate in an interaction with  $A$ , acting as a responder, and at the same time participate in an interaction with  $B$ , acting as an initiator. Furthermore, by exploiting the interaction with  $A$ ,  $M$  might be able to violate the security of the interaction with  $B$ . At a first glance, it seems that such an attack can be prevented by encrypting all communication between  $A$  and  $B$ . This does not work: If  $M$  is acting as truthful responder in its interaction with  $A$ , then  $A$  will believe that  $M$  is the rightful owner of the messages she sends, and thus encrypt all her messages using  $M$ 's key. The same holds for  $B$ . Indeed Lowe's famous attack on the Needham-Schroeder protocol works this way.

On the Internet concurrent attacks are unavoidable. While both the need and definitions were articulated in the early 90's, constructions of concurrently secure protocols were lacking.

During the reporting period, we have developed several novel techniques for dealing with concurrent attacks, leading to the resolution of several decade-old open problems:

- We obtained the first *constant-round* construction for defending against man-in-the-middle attacks based the minimal assumption of one-way functions; this had remained a major open problem for over 20 years. Our paper was just accepted for publication in the *Journal of the ACM* (the most prestigious journal in Computer Science).
- We obtained the first *constant-round* secure computation protocols based on *minimal hardness assumptions*, resolving a central problem open since the conception of secure multi-party computation in 1987.
- We constructed the first secure computation protocols that require no trusted infrastructure other than authenticated communication, and that satisfy a meaningful notion of security that is preserved under concurrent executions assuming standard cryptographic hardness assumptions.
- We demonstrated the first construction of concurrently secure protocols that only use underlying cryptographic primitives as a *black-box*, demonstrating that practical solution may be within reach.
- We demonstrated the first *constant-round* concurrently secure protocol for the specific class of zero-knowledge protocols, based on reasonable hardness assumptions. This had remained an open problem since the original work by Dwork, Naor and Sahai from 1999.

### 3 Security in the Presence of Physical Attacks

The traditional definition of security assumes that honest players internal states are completely hidden from the attacker, and the only way for the attacker to learn something about, or affect,

the internal state is by proving *inputs* and receiving *outputs* from honest parties. This is an unrealistic assumption that has been proven wrong in many setting.

During this reporting period, we have focused on analyzing the security of cryptographic protocols in the presence of stronger attacker that may access honest parties in more realistic ways. In particular, we have considered security in the context of *tampering* attackers, that may tamper with the internal state of honest parties.

- *Resettable security*: A very natural type of tamperings considers security of primitives in the presence of an attackers that may “reset” and “restart” an honest party, forcing them to return to an earlier state of the computation, and reusing the same random tape. This model is particularly relevant for cryptographic protocols being executed on embedded devices, such as smart cards. Since these devices have neither a built-in power supply, nor a non-volatile re-writable memory, they can be “reset” by simply disconnecting and reconnecting the power supply.) This notion of security is referred to as resettable security and its study was initiated in 2000. While constructions of resettable-secure protocols have been extensively since their conception, all these constructions relied on stronger than typical cryptographic hardness assumptions. In a sequence of works appearing in STOC 2013, FOCS 2013 (2 on this topic), and TCC 2014, we resolved some of central outstanding open questions in this field—namely, we showed construction under *minimal hardness* assumptions, and using a minimal number of *communication rounds*.
- *Tamper-resilient Security*: We initiate a study of the security of cryptographic primitives in the presence of efficient tampering attacks to the randomness of honest parties. More precisely, we consider  $p$ -tampering attackers that may tamper with each bit of the honest parties’ random tape with probability  $p$ , but have to do so in an “online” fashion. We present both positive and negative results:
  - Any secure encryption scheme, bit commitment scheme, or zero-knowledge protocol (these are some of the most important cryptographic building blocks) can be broken with probability  $p$  by a  $p$ -tampering attacker. The core of this result is a new Fourier analytic technique for biasing the output of bounded-value functions, which may be of independent interest.
  - Assuming the existence of one-way functions, cryptographic primitives such as signatures, identification protocols can be made resilient to  $p$ -tampering attacks for any  $p = 1/n^\alpha$ , where  $\alpha > 0$  and  $n$  is the security parameter.

## 4 Other Significant Results

**Limits of Provable Security** Modern Cryptography relies on the principle that cryptographic schemes are proven secure based on mathematically precise assumptions; these can be

general—such as the existence of one-way functions—or specific—such as the hardness of factoring products of large primes. The security proof is a reduction that transforms any attacker  $A$  of the scheme into a machine that breaks the underlying assumption (e.g., inverts an alleged one-way function). During the past four decades, many cryptographic tasks have been based on a number of well-studied complexity-theoretic intractability assumptions. But there are some well-known protocols and primitives (e.g., Schnorr’s identification scheme, commitment schemes secure against selective openings, Chaum Blind Signatures, etc.) that have resisted security reductions under well-studied intractability assumptions. What makes these protocols and primitives intriguing is that no attacks on them are known (and some of them are actually in use on the Internet). In a work from STOC’11, I demonstrate that for many of these primitives/protocols (and in particular, the above-mentioned ones), if their security can be based on any standard assumption using a Turing security reduction, then the assumption can be broken in polynomial time. In a line of subsequent works, we have extended this framework to deal with more primitives and stronger proof techniques.

**Techniques for Program Obfuscation** The goal of program obfuscation is to “scramble” a computer program, hiding its implementation details while preserving functionality. Unfortunately, the “dream” notion of security, guaranteeing that obfuscated code does not reveal any information beyond black-box access to the original program, has run into strong impossibility results, and is known to be unachievable for general programs. Recently, the first plausible candidate for general-purpose obfuscation was presented by Garg et al for a relaxed notion of security, referred to as indistinguishability obfuscation (iO). During the past year, we have been working on developing a sound foundation for program obfuscation. (This general topic will be further explored in our follow-up grant “Foundations and Applications of Program Obfuscation”.)

In a recent work appearing in CRYPTO’14 we presented a new hardness assumption—the existence of “semantically secure multilinear encodings”—which generalizes a multilinear DDH assumption and demonstrate the existence of indistinguishability obfuscation for all polynomial-size circuits under this assumption (and the most standard “LWE assumption”). This work is the first to demonstrate that security reductions can be used to reduce obfuscation to some general intractability assumption (rather than just assuming that the construction is secure). (After our work, several other assumptions have been introduced by the research community.)

## 5 Publications During Reporting Period

1. Huijia Lin, Rafael Pass: Constant-Round Nonmalleable Commitments from Any One-Way Function. *J. ACM* 62(1): 5:1-5:30 (2015)
2. Joseph Y. Halpern, Rafael Pass: Algorithmic rationality: Game theory with costly computation. *J. Economic Theory* 156: 246-268 (2015)



3. Samantha Leung, Edward Lui, Rafael Pass: Voting with Coarse Beliefs. ITCS 2015: 61
4. Jing Chen, Silvio Micali, Rafael Pass: Better Outcomes from More Rationality. ITCS 2015: 325
5. Kai-Min Chung, Edward Lui, Rafael Pass: From Weak to Strong Zero-Knowledge and Applications. TCC (1) 2015: 66-92
6. Kai-Min Chung, Rafael Pass: Tight Parallel Repetition Theorems for Public-Coin Arguments Using KL-Divergence. TCC (2) 2015: 229-246
7. Vipul Goyal, Huijia Lin, Omkant Pandey, Rafael Pass, Amit Sahai: Round-Efficient Concurrently Composable Secure Computation via a Robust Extraction Lemma. TCC (1) 2015: 260-289
8. Edward Lui, Rafael Pass: Outlier Privacy. TCC (2) 2015: 277-305
9. Rafael Pass, Wei-Lung Dustin Tseng, Muthuramakrishnan Venkitasubramaniam: Concurrent Zero Knowledge, Revisited. J. Cryptology 27(1): 45-66 (2014)
10. Kai-Min Chung, Zhenming Liu, Rafael Pass: Statistically-secure ORAM with  $(\log^2 n)$  Overhead. ASIACRYPT (2) 2014: 62-81
11. Per Austrin, Kai-Min Chung, Mohammad Mahmoody, Rafael Pass, Karn Seth: On the Impossibility of Cryptography with Tamperable Randomness. CRYPTO (1) 2014: 462-479
12. Rafael Pass, Karn Seth, Sidharth Telang: Indistinguishability Obfuscation from Semantically-Secure Multilinear Encodings. CRYPTO (1) 2014: 500-517
13. Ilan Komargodski, Tal Moran, Moni Naor, Rafael Pass, Alon Rosen, Eylon Yogev: One-Way Functions and (Im)Perfect Obfuscation. FOCS 2014: 374-383
14. Joseph Y. Halpern, Rafael Pass, Lior Seeman: The truth behind the myth of the folk theorem. ITCS 2014: 543-554
15. Adam Bjorndahl, Joseph Y. Halpern, Rafael Pass: Axiomatizing Rationality. KR 2014
16. Rafael Pass, Karn Seth: On the Impossibility of Black-Box Transformations in Mechanism Design. SAGT 2014: 279-290
17. Elette Boyle, Kai-Min Chung, Rafael Pass: On Extractability Obfuscation. TCC 2014: 52-73
18. Kai-Min Chung, Rafail Ostrovsky, Rafael Pass, Muthuramakrishnan Venkitasubramaniam, Ivan Visconti: 4-Round Resettable-Sound Zero Knowledge. TCC 2014: 192-216
19. Joseph Y. Halpern, Rafael Pass, Lior Seeman: Not Just an Empty Threat: Subgame-Perfect Equilibrium in Repeated Games Played by Computationally Bounded Players. WINE 2014: 249-262

20. Joseph Y. Halpern, Rafael Pass: Conservative belief and rationality. *Games and Economic Behavior* 80: 186-192 (2013)
21. Rafael Pass, Alon Rosen, Wei-Lung Dustin Tseng: Public-Coin Parallel Zero-Knowledge for NP. *J. Cryptology* 26(1): 1-10 (2013)
22. Kai-Min Chung, Rafael Pass: Guest column: parallel repetition theorems for interactive arguments. *SIGACT News* 44(1): 50-69 (2013)
23. Kai-Min Chung, Huijia Lin, Rafael Pass: Constant-Round Concurrent Zero Knowledge from P-Certificates. *FOCS 2013*: 50-59
24. Kai-Min Chung, Rafail Ostrovsky, Rafael Pass, Ivan Visconti: Simultaneous Resetability from One-Way Functions. *FOCS 2013*: 60-69
25. Ran Canetti, Huijia Lin, Rafael Pass: From Unprovability to Environmentally Friendly Protocols. *FOCS 2013*: 70-79
26. Kai-Min Chung, Rafael Pass, Sidharth Telang: Knowledge-Preserving Interactive Coding. *FOCS 2013*: 449-458
27. Adam Bjorndahl, Joseph Y. Halpern, Rafael Pass: Language-Based Games. *IJCAI 2013*
28. Joseph Y. Halpern, Rafael Pass: Sequential Equilibrium in Computational Games. *IJCAI 2013*
29. Kai-Min Chung, Edward Lui, Rafael Pass: Can theories be tested?: a cryptographic treatment of forecast testing. *ITCS 2013*: 47-56
30. Per Austrin, Johan Hstad, Rafael Pass: On the power of many one-bit provers. *ITCS 2013*: 215-220
31. Kai-Min Chung, Huijia Lin, Mohammad Mahmoody, Rafael Pass: On the power of nonuniformity in proofs of security. *ITCS 2013*: 389-400
32. Kai-Min Chung, Rafael Pass, Karn Seth: Non-black-box simulation from one-way functions and applications to resettable security. *STOC 2013*: 231-240
33. Rafael Pass: Unprovable Security of Perfect NIZK and Non-interactive Non-malleable Commitments. *TCC 2013*: 334-354
34. Eleanor Birrell, Kai-Min Chung, Rafael Pass, Sidharth Telang: Randomness-Dependent Message Security. *TCC 2013*: 700-720
35. Joseph Y. Halpern, Rafael Pass: Iterated regret minimization: A new solution concept. *Games and Economic Behavior* 74(1): 184-207 (2012)
36. Tom Roeder, Rafael Pass, Fred B. Schneider: Multi-Verifier Signatures. *J. Cryptology* 25(2): 310-348 (2012)

37. Rafael Pass, Muthuramakrishnan Venkitasubramaniam: A Parallel Repetition Theorem for Constant-Round Arthur-Merlin Proofs. *TOCT* 4(4): 10 (2012)
38. Joseph Y. Halpern, Rafael Pass, Lior Seeman: I'm Doing as Well as I Can: Modeling People as Rational Finite Automata. *AAAI* 2012
39. Rafael Pass, Huijia Lin, Muthuramakrishnan Venkitasubramaniam: A Unified Framework for UC from Only OT. *ASIACRYPT* 2012: 699-717
40. Huijia Lin, Rafael Pass: Black-Box Constructions of Composable Protocols without Set-Up. *CRYPTO* 2012: 461-478
41. Johannes Gehrke, Michael Hay, Edward Lui, Rafael Pass: Crowd-Blending Privacy. *CRYPTO* 2012: 479-496
42. Mohammad Mahmoody, Rafael Pass: The Curious Case of Non-Interactive Commitments - On the Power of Black-Box vs. Non-Black-Box Use of Primitives. *CRYPTO* 2012: 701-718
43. Kai-Min Chung, Rafael Pass, Wei-Lung Dustin Tseng: The Knowledge Tightness of Parallel Zero-Knowledge. *TCC* 2012: 512-529
44. Boaz Barak, Ran Canetti, Yehuda Lindell, Rafael Pass, Tal Rabin: Secure Computation Without Authentication. *J. Cryptology* 24(4): 720-760 (2011)
45. Rafael Pass, Wei-Lung Dustin Tseng, Douglas Wikström: On the Composition of Public-Coin Zero-Knowledge Protocols. *SIAM J. Comput.* 40(6): 1529-1553 (2011)
46. Joseph Y. Halpern, Rafael Pass: Algorithmic rationality: adding cost of computation to game theory. *SIGecom Exchanges* 10(2): 9-15 (2011)
47. Kai-Min Chung, Rafael Pass: The Randomness Complexity of Parallel Repetition. *FOCS* 2011: 658-667
48. Eleanor Birrell, Rafael Pass: Approximately Strategy-Proof Voting. *IJCAI* 2011: 67-72
49. Rafael Pass, Abhi Shelat: Renegotiation-Safe Protocols. *ICS* 2011: 61-78
50. Rafael Pass: Limits of provable security from standard assumptions. *STOC* 2011: 109-118
51. Huijia Lin, Rafael Pass: Constant-round non-malleable commitments from any one-way function. *STOC* 2011: 705-714
52. Adam Björndahl, Joseph Y. Halpern, Rafael Pass: Reasoning about justified belief. *TARK* 2011: 221-227
53. Huijia Lin, Rafael Pass: Concurrent Non-Malleable Zero Knowledge with Adaptive Inputs. *TCC* 2011: 274-292

54. Johannes Gehrke, Edward Lui, Rafael Pass: Towards Privacy for Social Networks: A Zero-Knowledge Based Definition of Privacy. TCC 2011: 432-449
55. Rafael Pass: Concurrent Security and Non-malleability. TCC 2011: 540
56. Rafael Pass, Wei-Lung Dustin Tseng, Muthuramakrishnan Venkitasubramaniam: Towards Non-Black-Box Lower Bounds in Cryptography. TCC 2011: 579-596
57. Huijia Lin, Rafael Pass, Wei-Lung Dustin Tseng, Muthuramakrishnan Venkitasubramaniam: Concurrent Non-Malleable Zero Knowledge Proofs. CRYPTO 2010: 429-446
58. Ran Canetti, Huijia Lin, Rafael Pass: Adaptive Hardness and Composable Security in the Plain Model from Standard Assumptions. FOCS 2010: 541-550
59. Joseph Y. Halpern, Rafael Pass: Game Theory with Costly Computation: Formulation and Application to Protocol Security. ICS 2010: 120-142 2010: 588-605

## 6 Awards and Honors during Reporting Period

- Wallenberg Academy Fellow (awarded by the Royal Academy of Science in Sweden), 2013.
- Fiona Ip Li and Donald Li Excellence in Teaching Award, 2012.
- Invited Talk at Theory of Cryptography Conference, 2011.
- Alfred P. Sloan Fellow, 2011.
- 5 paper have been selected to special issues for best papers from conferences:
  - P. Austrin, K. Chung, M. Mahmoody, R. Pass, K. Seth. *On the impossibility of Cryptography with Tamperable Randomness*. Invited to Algorithmica special issue on best papers from CRYPTO'14.
  - Rafael Pass, Huijia Lin, Muthuramakrishnan Venkitasubramaniam: *A Unified Framework for UC from Only OT*. Invited to Journal of Cryptology special issue on best paper from ASIACRYPT 2012.
  - K. Chung, R. Pass, K. Seth. *Non-black-box simulation from one-way functions and applications to resettable security*. Invited to SIAM Journal of Computing special issue on selected papers of STOC 2012.
  - R. Pass. *Unprovable Security of Perfect NIZK and Non-interactive Non-malleable Commitments*. Invited to Computational Complexity special issue for the ten year anniversary of TCC. Invited to Journal of Cryptology special issue on best papers from TCC 2013.
  - R. Canetti, H. Lin and R. Pass. *Adaptive Hardness and Composable Security from Standard Assumptions*. Invited to SIAM Journal of Computing special issue on selected papers of FOCS 2010.

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Rafael Pass

**Program Manager****The AFOSR Program Manager currently assigned to the award**

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**Abstract**

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Our major results include:

\* the first constant-round construction for defending

against so-called “man-in-the-middle attacks” based the minimal assumption of one-way functions; this had remained a major open problem for over 20 years.

\* the first secure computation protocols that requires no trusted infrastructure other than authenticated communication, and satisfies concurrent security.

\* developing new methods for dealing with security against so-called “resetting” attacks.

\* initiated a study of the security of cryptographic protocols in the presence of tampering of the randomness of honest parties.

Our research has been published in the premier Computer Science Theory conferences (STOC, FOCS, ITCS), and the premier Cryptography conferences (CRYPTO, EuroCrypt, TCC); 5 of these papers were selected for special issues on best papers.

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1. Huijia Lin, Rafael Pass: Constant-Round Nonmalleable Commitments from Any One-Way Function. J. ACM 62(1): 5:1-5:30 (2015)
2. Joseph Y. Halpern, Rafael Pass: Algorithmic rationality: Game theory with costly computation. J. Economic Theory 156: 246-268 (2015)
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**Changes in research objectives (if any):**

**Change in AFOSR Program Manager, if any:**

Change from Herklotz to Tristan Nguyen

**Extensions granted or milestones slipped, if any:**

**AFOSR LRIR Number**

**LRIR Title**

**Reporting Period**

**Laboratory Task Manager**

**Program Officer**

**Research Objectives**

**Technical Summary**

**Funding Summary by Cost Category (by FY, \$K)**



	Starting FY	FY+1	FY+2
Salary			
Equipment/Facilities			
Supplies			
Total			

**Report Document**

**Report Document - Text Analysis**

**Report Document - Text Analysis**

**Appendix Documents**

**2. Thank You**

**E-mail user**

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